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| APPLICATION NO.                   | FILING DATE            | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.          | CONFIRMATION NO. |
|-----------------------------------|------------------------|----------------------|------------------------------|------------------|
| 10/811,207                        | 03/26/2004             | Cheisan J. Yue       | P04,0097 (H0005049,SBE<br>16 | 1964             |
| 89941<br>HONEYWELL                | 7590 04/07/201<br>/S&S | EXAMINER             |                              |                  |
| Patent Services                   |                        | MOVVA, AMAR          |                              |                  |
| 101 Columbia Road<br>P.O.Box 2245 |                        |                      | ART UNIT                     | PAPER NUMBER     |
| Morristown, NJ 07962-2245         |                        |                      | 2894                         |                  |
|                                   |                        |                      |                              |                  |
|                                   |                        |                      | NOTIFICATION DATE            | DELIVERY MODE    |
|                                   |                        |                      | 04/07/2010                   | ELECTRONIC       |

## Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

patentservices-us@honeywell.com pairdocketing@ssiplaw.com shelley.herndon@honeywell.com

## Advisory Action Before the Filing of an Appeal Brief

| Application No. | Applicant(s) |  |
|-----------------|--------------|--|
| 10/811,207      | YUE ET AL.   |  |
|                 |              |  |
| Examiner        | Art Unit     |  |

|   | 7 (10)7 (1 ( 10) © 0 7 (   | 2004  |
|---|--|---|
| The MAILING DATE of this communication appe   | ars on the cover sheet with the o  | correspondence address  |
| THE REPLY FILED <u>24 March 2010</u> FAILS TO PLACE THIS AP   | PLICATION IN CONDITION FOR   | ALLOWANCE.  |
| 1.  The reply was filed after a final rejection, but prior to or on application, applicant must timely file one of the following application in condition for allowance; (2) a Notice of Appelor Continued Examination (RCE) in compliance with 37 C periods:   | replies: (1) an amendment, affidavi<br>eal (with appeal fee) in compliance   | t, or other evidence, which places the with 37 CFR 41.31; or (3) a Request                |
| a) The period for reply expiresmonths from the mailing  | date of the final rejection.   |   |
| b) The period for reply expires on: (1) the mailing date of this A no event, however, will the statutory period for reply expire to Examiner Note: If box 1 is checked, check either box (a) or (   | ater than SIX MONTHS from the mailing b). ONLY CHECK BOX (b) WHEN THE  | g date of the final rejection.  |
| MONTHS OF THE FINAL REJECTION. See MPEP 706.07(: Extensions of time may be obtained under 37 CFR 1.136(a). The date have been filed is the date for purposes of determining the period of extunder 37 CFR 1.17(a) is calculated from: (1) the expiration date of the set forth in (b) above, if checked. Any reply received by the Office later may reduce any earned patent term adjustment. See 37 CFR 1.704(b). NOTICE OF APPEAL | on which the petition under 37 CFR 1.1<br>ension and the corresponding amount<br>chortened statutory period for reply origi<br>than three months after the mailing dat | of the fee. The appropriate extension fee nally set in the final Office action; or (2) as |
| 2. The Notice of Appeal was filed on A brief in comp  | liance with 37 CFR 41.37 must be   | filed within two months of the date of  |
| filing the Notice of Appeal (37 CFR 41.37(a)), or any exter<br>Notice of Appeal has been filed, any reply must be filed w<br>AMENDMENTS   | nsion thereof (37 CFR 41.37(e)), to  | avoid dismissal of the appeal. Since a  |
| 3. The proposed amendment(s) filed after a final rejection, be (a) They raise new issues that would require further core  | nsideration and/or search (see NO  |   |
| <ul> <li>(b) ☐ They raise the issue of new matter (see NOTE belo</li> <li>(c) ☐ They are not deemed to place the application in bet appeal; and/or</li> </ul>   | •  | ducing or simplifying the issues for  |
| (d) ☐ They present additional claims without canceling a d  | corresponding number of finally reje   | ected claims.   |
| NOTE: Certain claims have been substantively an   | <u>nended</u> . (See 37 CFR 1.116 and 41   | 1.33(a)).   |
| 4. $\square$ The amendments are not in compliance with 37 CFR 1.12  | 21. See attached Notice of Non-Co  | mpliant Amendment (PTOL-324).   |
| <ol><li>Applicant's reply has overcome the following rejection(s):</li></ol>  |  |   |
| 6. Newly proposed or amended claim(s) would be all non-allowable claim(s).  |  |   |
| 7. For purposes of appeal, the proposed amendment(s): a) how the new or amended claims would be rejected is provided that the status of the claim(s) is (or will be) as follows: Claim(s) allowed: Claim(s) objected to: Claim(s) rejected: Claim(s) withdrawn from consideration:  |  | I be entered and an explanation of  |
| AFFIDAVIT OR OTHER EVIDENCE   |  |   |
| 8. The affidavit or other evidence filed after a final action, bu because applicant failed to provide a showing of good and was not earlier presented. See 37 CFR 1.116(e).   |  |   |
| <ol> <li>The affidavit or other evidence filed after the date of filing<br/>entered because the affidavit or other evidence failed to o<br/>showing a good and sufficient reasons why it is necessary</li> </ol>  | vercome <u>all</u> rejections under appea<br>and was not earlier presented. Se   | al and/or appellant fails to provide a<br>ee 37 CFR 41.33(d)(1).                          |
| <ol> <li>The affidavit or other evidence is entered. An explanation<br/>REQUEST FOR RECONSIDERATION/OTHER</li> </ol>  | n of the status of the claims after e  | ntry is below or attached.  |
| <ol> <li>The request for reconsideration has been considered bu<br/><u>See Continuation Sheet.</u></li> </ol>   | t does NOT place the application in  | condition for allowance because:  |
| <ul><li>12. ☐ Note the attached Information <i>Disclosure Statement</i>(s). (</li><li>13. ☐ Other:</li></ul>  | PTO/SB/08) Paper No(s)   |   |
|   | /Bradley K Smith/  |   |
|   | Primary Examiner, Art U  | Init 2894   |
|   |  |   |

Continuation of 11. does NOT place the application in condition for allowance because:

Applicant argues that the limitation "that the first and second guard ring extend through a buried insulation layer contacting a semiconductor substrate" since the rejection does not expressly use such claim language. As a preliminary matter examiner notes that a rejection is not a mere regurgitation of claim language but rather a technical understanding of why the limitations of a claim are met by the prior art. In other words the claims describe the invention they are not the invention unto themselves. In the instant case Hiramoto's discloses guard rings contacting a buried oxide, and the deficiency of contacting the substrate is cured by Hutter. One of ordinary skill in the art would clearly recognize that this modification would result in the guard rings extending through the buried oxide to reach the substrate.

Applicant argues that Hiramoto does not disclose the guard rings to extend through the buried oxide and Hutter does not disclose the buried oxide. This is somewhat confusing as the examiner is not insisting either reference anticipates this claim. Rather it is the resulting structure of the modification of Hiramoto/Hutter that is of relevance here. Applicant's piecemeal analysis of the Hiramoto and Hutter is furthermore improper. One cannot show nonobviousness by attacking references individually where the rejections are based on combinations of references. See In re Keller, 642 F.2d 413, 208 USPQ 871 (CCPA 1981); In re Merck & Co., 800 F.2d 1091, 231 USPQ 375 (Fed. Cir. 1986).

Applicant's remaining arguments are towards amendments which require consideration and/or search.

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